Grow Your Business in an Untapped Market

67% of plan participants would prefer to get guidance from a professional when selecting plan investments.*

*Employee Benefit Research Institute 2020 Retirement Confidence Survey (RCS).
https://www.ebri.org/surveys/rcs/

Create Enduring Client Relationships

Increase Your Value to Clients

Are you are looking for a way to complement your advisory business?

Since most plan participants lack the time, desire or expertise to manage their own retirement plan accounts, why not make use of this tremendous opportunity to build your business?

Q3 can help you to gather these assets. We're able to offer a wide range of services to support your 401(k) and 403(b) sales and marketing efforts. We've created presentation materials for advisors to use and we are happy to conduct remote and in-person seminars with advisors and clients.

Retirement Plan Management Made Easy

Self-Directed Brokerage

The Self-Directed Brokerage Option provides plan participants with access to Q3's management services. According to Aon Hewitt, approximately 40% of retirement plans offer SDBAs but many participants aren't aware of the feature. Educating them about this option can increase your value to your clients and help them achieve their goals.

The Process is Easy

One of the highlights of this approach is that virtually any working client might be a prospect. You don't even have to talk to the plan sponsor, since the assets remain in the plan and the participants sign up for the service direct. Simply ask existing clients & prospects if they would like you to review their retirement account.

Get Started in 3 Steps

- **Step One** – Collect Statements from Clients
- **Step Two** – Email or Fax us your client statements
- **Step Three** – We'll let you know if the account can be managed

Or just call us and we can discuss plans in your area that allow management.

Q3 Asset Management was founded by professional traders. Our focus is to provide retail investors, through their financial professional, the same actively managed investment strategies used by institutional investors. We have deep roots in portfolio management and technical analysis. Our investment strategies are designed with the goal to capitalize on market opportunities while eliminating emotion from the investment process.