

About Q3

Q3 Asset Management was founded by professional traders. Our roots trace back to 1982 when Bradford Giaimo began his career in the COMEX Gold Pit. In everything we do, we strive to help your clients achieve their financial goals by making our strategies responsive to ever-changing markets. We have deep roots in portfolio management and technical analysis and believe that our background, coupled with our expertise in quantitative investing, gives us an advantage over traditional methods of money management. We seek to participate in rising markets and reduce risk during unfavorable market conditions. Our experience has taught us that active investment management has become increasingly important in delivering an edge. Q3 offers five investment strategies through Jefferson National, each of which can be blended together as desired.

Available Strategies

Strategic Core – Strategic Core draws from a diverse universe of mutual funds. The strategy is broken down into both active and passive sleeves. Each component is reviewed weekly and is designed to adjust to the strongest performers. While Strategic Core is designed to remain fully invested the vast majority of the time, during periods of sustained market weakness a portion of the strategy may shift defensive. This asset allocation approach allocates, diversifies, and rebalances to provide an all-weather managed account. The strategy is offered in multiple risk profiles.

- Draws on a diverse universe of mutual funds
 - Reviewed weekly, though positions are held for at least 30 trading days
 - Categories include: Core Equity / Active Equity / Bonds / Alternatives
 - Generally holds 6 – 10 positions
 - Minimum Strategy Investment - \$5,000
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Enhanced Allocation – Enhanced Allocation (EA) applies our quantitative investment ranking process to a universe of equity and fixed income funds. The strategy systematically shifts out of underperforming funds and into outperforming funds every 45 days. EA incorporates our “Market Environment Filter” which is designed to allocate the portfolio to a defensive posture during unfavorable market periods. Our research indicates that the program will be invested in the top-ranked issues approximately 75% of the time and invested entirely in fixed income and money-market funds approximately 25% of the time. The strategy is offered in a conservative, moderate and growth risk profile.

- Momentum based strategy
 - Diverse fund universe consisting of
 - Re-allocation every 45 days
 - Utilizes our “Market Environment Filter” to shift defensive during adverse market conditions
 - Minimum Strategy Investment - \$5,000
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Adaptive High Yield – Adaptive High Yield is a trend following system that attempts to capitalize on intermediate-term trends in the high-yield bond market. When a buy signal is generated, the program will establish a position in one or more high-yield bond funds. The strategy reviews the market daily and maintains the ability to shift assets to the safety of a money-market fund during adverse market conditions.

- Adaptive High Yield is expected to re-allocate 4-8 times per year, on average
- Over a full market cycle, the strategy should maintain a low correlation to both equity and fixed income markets
- The strategy is expected to be invested in high yield bond funds at least 60% of the time
- Minimum Investment - \$5,000

TUG1 – TUG1 provides the opportunity to take advantage of both bull and bear markets through the use of strategic long and short equity positions in addition to long/short treasury and money market positions. Looking to capitalize upon the non-correlation between equities and bonds, the program will assess which asset class provides the best opportunity in light of prevailing market conditions. When the equity markets become indecisive, TUG1 seeks to both protect and benefit from the periodic reversals in equities by allocating into treasury positions and/or money markets, in addition to inverse equity holdings.

- The strategy may provide relief in sideways or trendless market conditions which often persist for long periods of time
- TUG1 maintains the ability to participate during rising equity markets and just as importantly, shift defensive (through the use of short equity, money markets or long/short treasury positions) during uncertain market conditions
- Over the long-term TUG1 is designed to maintain a low correlation to equity markets
- Minimum Investment - \$5,000

Bull Cipher – Bull Cipher is a long / neutral strategy that invests in Nasdaq 100 Index Funds. Signals are generated on a daily basis and may be short-term in nature. While the strategy focuses on mean-reversion (counter-trend) based set-ups, trend-following and breakout trades may also be utilized. Bull Cipher is an absolute return program that seeks a positive rate of return over the course of a calendar year regardless of market conditions. The strategy may be positioned heavily in money market funds for periods of time, waiting for high probability opportunities.

- Bull Cipher would be considered a moderate strategy when used as a stand-alone offering
- The program is fairly active and maintains the ability to trade multiple times each week
- Bull Cipher offers a high degree of market non-correlation which makes it a nice complement to asset allocation based strategies
- Minimum Investment - \$5,000

For More Information:

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